# Region Type Checking for Core-Java

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Abstract—Region-based memory management offers several important advantages over garbage-collected heap, including real-time performance, better data locality and efficient use of limited memory. The concept of regions was first introduced for a call-by-value functional language by Tofte and Talpin, and has since been advocated for imperative and object-oriented languages. Scope memory, a lexical variant of regions, is now a core feature in a recent proposal on Real-Time Specification for Java (RTSJ). In this paper, we propose a region-based memory management system for a core subset of Java. Our region type analysis can completely prevent dangling references and thus is ready to cater for the no-dangling requirement in RTSJ. Our system also supports modular compilation, which is an important feature for Java, but was missing in recent related work.

Index Terms—Core-Java, Region Type, Type Checking.

#### I. Introduction

In region-based memory management, each new object is added to a *region* with a designated lifetime. While objects may be added to their respective regions at different times, the entire set of objects in each region are freed simultaneously, when the region is deleted. Various studies have shown that region-based programming can provide safe memory management with good real-time performance. Data locality is also improved when related objects are placed together in the same region. By classifying objects into regions based on their lifetimes, better utilization of memory can be achieved when dead regions are recovered on a timely basis.

Region-based memory management was first invented in 1994 by Tofte and Talpin[14], and has been implemented for Standard ML[13]. A type and effect program analysis was used to associate every value with a region, together with automatic inference on the lifetime (or scope) of these regions. Region type rules guarantee that a well-typed region-annotated program never access a dangling reference to a region that has already been deallocated. Recently, several works have investigated region-based programming for Javabased languages [9], [5], [4]. Most of these works (e.g. [5], [4]) use region type-checking to guarantee that well-typed

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programs never access dangling references, so that runtime tests for dangling references can be omitted.

Our main contribution is a new region type system, which has the following important features.

- Our region type rules prevent dangling references by requiring that all field references outlive the current object. We formalise this explicitly through region lifetime constraints, without the need for an effect-based typing.
- In comparison with the work [5], our system adopts the open world assumption for region annotations, thus modular compilation is possible.
- Our system supports classes and methods with regionpolymorphism, and also supports polymorphic recursion for methods.

The remainder of this paper is organised as follows. Section II introduces the language and the region parameterized type. We present the region type rules in Section III. Section IV discusses related works, followed by some concluding remarks in Section V.

### II. CORE-JAVA AND REGION TYPES

In this section, we present the syntax of a significant (subset of) Java-like language named *Core-Java* and introduce region types we shall adopt.

Fig 1 presents the syntax for our language Core-Java. Core-Java is designed in the same minimalist spirit as Featherweight Java[12]. It supports assignments but is also an expression-oriented language where statements are expressions with the void type. There is no while loop construct as this can be captured by tail-recursion. The language is extended with region types and region constraints for each class and method. Also, local region with a designated scope is introduced by the **letreg** declaration.

Note that r denotes a region variable, while v represents a data variable. The suffix notation  $s^*$  denotes a list of zero or more distinct syntactic terms that are separated by appropriate separators, while  $s^+$  represents a list of one or more distinct syntactic terms. The syntactic terms, s, could be v, r,  $(t\ v)$ , field, etc. For example,  $(t\ v)^*$  denotes  $(t_1\ v_1,...,t_n\ v_n)$  where  $n{>}0$ .

The constraint  $r_1 \succeq r_2$  indicates that the lifetime of region  $r_1$  is not shorter than that of  $r_2$ . The constraint  $r_1 = r_2$  denotes that  $r_1$  and  $r_2$  must be the same region, while  $r_1 \neq r_2$  denotes the converse. Note that *this* is a reserved data variable referring to the current object, while *heap* is a reserved region

```
P ::= def^* \ meth^* \ eb
def ::= \mathbf{class} \ ca_1 \ \mathbf{extends} \ ca_2 \ \mathbf{where} \ rc \ \{field^* \ meth^*\}
ca ::= cn\langle r^+ \rangle
field ::= t \ f
meth ::= t \ mn\langle r^* \rangle ((t \ v)^*) \ \mathbf{where} \ rc \ eb
t ::= ca \ | \ prim \langle \rangle
prim ::= \mathbf{int} \ | \ \mathbf{bool} \ | \ \mathbf{void}
eb ::= \{(t \ v)^* \ e\}
e ::= (ca) \ \mathbf{null} \ | \ k \ | \ v \ | \ v.f \ | \ eb
| \ \mathbf{new} \ ca(v^*) \ | \ v.f = e \ | \ v = e
| \ v.mn\langle r^* \rangle (v^*) \ | \ mn\langle r^* \rangle (v^*) \ | \ e_1 \ ; \ e_2
| \ \mathbf{if} \ v \ \mathbf{then} \ e_1 \ \mathbf{else} \ e_2 \ | \ \mathbf{letreg} \ r \ \mathbf{in} \ eb
rc ::= r_1 \succeq r_2 \ | \ r_1 = r_2 \ | \ r_1 \neq r_2 \ |
| \ rc_1 \land rc_2 \ | \ true
```

Fig. 1. The Syntax of Core-Java

to denote the global heap with unlimited lifetime, that is for any r:  $heap \succeq r$ .

Each class definition is parameterised with one or more regions, to form a region type [14], [15], [5], [4]. For instance,  $cn\langle r_1,...,r_n\rangle$  is a class annotated with region parameters  $r_1,...,r_n$ . Parameterisation allows programmers to implement a region-polymorphic class whose components can be allocated in different regions. The first region parameter  $r_1$  is special: it refers to the region in which a specified object of this class will be allocated. All other regions should *outlive* this region via the constraint  $\wedge_{i:1...n}(r_i \succeq r_1)$ . That is the regions of the components (possibly in  $r_1,...,r_n$ ) should have longer or equal lifetimes than the region (namely  $r_1$ ) of its object. This condition, called *no-dangling* requirement, can prevent dangling references completely, as it guarantees that each object can never reference another object in a younger region.

Every method is also decorated with zero or more region parameters. They are intended to capture the regions used by each method's parameters and result. Each method also has a region lifetime constraint that is consistent with the operations performed in the method body.

Two example programs are shown in Fig 2 and Fig 3 for the Pair and PList classes.

Note that in Fig. 2 the regions (r1, r2, r3) for the subclass (Pair) is an extension of that (r1) of the superclass (Object). The class invariant  $(r2 \succeq r1 \land r3 \succeq r1)$  ensures that the regions for the components outlive the region for the object. Also notice that each method (e.g. cloneRev) has a pre-condition (e.g.  $r2 \succeq r6 \land r3 \succeq r5$ ) that should be satisfied at call sites before the method is invoked. With these constraints being satisfied when instantiated with actual regions, no dangling references can occur during the execution of the program.

The PList class in Fig. 3 describes a recursive list, elements of which are of type Pair. For simplicity, and also for

```
class Pair(r1,r2,r3) extends Object(r1)
  where r2 \succeq r1 \land r3 \succeq r1 {
  Object(r2) fst
  Object(r3) snd
  Object\langle r4 \rangle getFst\langle r4 \rangle()
   where r2=r4 {fst}
  void setSnd\langle r4 \rangle(Object\langle r4 \rangle o)
   where r3=r4 {snd=o}
  Pair(r4,r5,r6) cloneRev(r4,r5,r6)()
    where r2=r6 \land r3=r5 {
    Pair(r4,r5,r6) tmp
        =new Pair(r4,r5,r6)(null,null);
    tmp.fst=snd; tmp.snd=fst;
  void swap() where r2=r3 {
    Object(r2) tmp=fst;
    fst=snd;
    snd=tmp;
```

Fig. 2. The Class Pair

```
class PList⟨r1,r2,r3,r4⟩ extends Object⟨r1⟩
where r2≿r1∧r3≿r1∧r4≿r1∧r3≿r2∧r4≿r2 {
Pair⟨r2,r3,r4⟩ p
PList⟨r1,r2,r3,r4⟩ next
Pair⟨r5,r6,r7⟩ getPair⟨r5,r6,r7⟩()
where r5=r2∧r6=r3∧r7=r4
{p}
PList⟨r5,r6,r7,r8⟩ getNext⟨r5,r6,r7,r8⟩()
where r5=r1∧r6=r2∧r7≿r3∧r8=r4
{next}
void setNext⟨r5,r6,r7,r8⟩ o)
where r5=r1∧r6=r2∧r7≿r3∧r8=r4
{next} colored by the colo
```

Fig. 3. The Class PList

data locality, we adopts region-monomorphism for recursive classes. Therefore, the recursive field has the same region annotation as its class.

#### III. REGION TYPE SYSTEM

In this section we build a region type system for Core-Java. We shall formulate the region type rules for Core-Java through the following set of typing relations.

- $def \in P$ . It denotes a class within a given program P.
- $meth \in P$ . It denotes a static method within a given program P.
- $P \vdash mbr \in ca$ . It indicates that mbr is a member of class ca, either declared in current class ca, or inherited from its superclass. mbr can be a field or a method. Direct membership without inheritance is captured by  $P \vdash mbr \in_D ca$ .

- $P; R \vdash_{constr} t, \varphi$ . The constraint that should be imposed on type t is  $\varphi$ .
- $P; R; \Psi \vdash_{type} t$ . The type t is well-formed with respect to program P, the set of alive regions R and the region constraint  $\Psi$ .
- $P; R; \Psi \vdash_{formal} t \ v$ . A field  $(t \ v)$  in a class or a formal parameter of a method is well formed.
- $P \vdash cn\langle x_{1..m} \rangle <: cn\langle x'_{1..p} \rangle, \rho$ . This captures a subtype relation between  $cn\langle x_{1..q} \rangle$  and  $cn'\langle x'_{1..q} \rangle$  with substitution  $\rho$  to enforce identical parameter regions.
- $P; R; \Psi \vdash t <: t'$ . This states that t is a subtype of t' under the constraint  $\Psi$ .
- P ⊢<sub>def</sub> def. It denotes that class declaration cdecl is wellformed in program P.
- $P; \Gamma; R; \Psi \vdash_{meth} meth$ . It specifies that method *meth* is well-defined with respect to program P, type environment  $\Gamma$ , live regions R and the region constraint  $\Psi$ .
- $P; \Gamma; R; \Psi \vdash e : t$ . It indicates that expression e is of type t with respect to program P, type environment  $\Gamma$ , the set of alive regions R and the constraint  $\Psi$ .
- ⊢ *P* : *t*. It indicates that program *P* is well-formed with main expression of type *t*.

We shall begin with some auxiliary typing relations that are used to extract classes, methods and fields from a given program, together with checks on the well-formedness of types, parameters and subtyping.

 The following two rules are for extracting the classes and static methods from a given program.

$$P = \dots def \dots meth^* eb Q$$
$$def \in P$$

$$P = def^* \dots meth \dots eb Q$$

$$meth \in P$$

Methods/fields defined in a class.

Let  $mbr = field \mid meth$  be a class member (i.e. field or instance method). We first define direct membership using the following rules:

$$\frac{\text{class } cn\langle r_{1..n}\rangle...\{...mbr...\} \in P}{P \vdash mbr \in_{D} cn\langle r_{1..n}\rangle}$$

Fields/methods may be inherited through the superclass. This could be dealt by the following membership with inheritance relation.

$$\frac{P \vdash mbr \in_D cn\langle r_{1..n}\rangle}{P \vdash mbr \in cn\langle r_{1..n}\rangle}$$

class 
$$cn\langle r_{1..n}\rangle$$
 extends  $cn'\langle r_{1..m}\rangle... \in P$   
 $P \vdash mbr \in cn'\langle r_{1..m}\rangle$   $P \vdash mbr \notin_D cn\langle r_{1..n}\rangle$   
 $P \vdash mbr \in cn\langle r_{1..n}\rangle$ 

For convenience, we may drop P from our relations using  $mbr \in cn\langle r_{1...n} \rangle$ .

Well-formedness of types and fields/parameters.
 The first three rules derive the invariant associated with each type. For each class type, there is some invariant

describing the lifetime relation on regions involved, while for primitive and *Object* types, no invariant is imposed since they have at most one region parameter.

$$P; R \vdash_{constr} prim\langle\rangle, true$$

$$\frac{r \in R}{P; R \vdash_{constr} Object\langle r \rangle, \ true}$$

$$def = \mathbf{class} \ cn\langle r_{1...n}\rangle \ \mathbf{extends} \ c \ \mathbf{where} \ \varphi \ \{...\}$$
$$def \in P \quad R \supseteq \{x_1, ..., x_n\}$$
$$P; R \vdash_{constr} cn\langle x_{1...n}\rangle, [r_1 \mapsto x_1, ..., r_n \mapsto x_n]\varphi$$

The next two rules are used to check the well-formedness of types and fields, under a region constraint  $\Psi$ .

$$\frac{P; R \vdash_{constr} t, \ \varphi \qquad \Psi \Rightarrow \varphi}{P; R; \Psi \vdash_{type} t}$$

$$\frac{P; R; \Psi \vdash_{type} t}{P; R; \Psi \vdash_{formal} t \ v}$$

• Subtyping relation.

As mutations are possible on the fields, the subtype relation requires the region parameters to be invariant (both contra-variant and co-variant). This is achieved through equality constraints on the regions of both the subtype and its supertype, as follows.

$$\frac{\rho = [x_i \mapsto x_i']_{i \in 1..n}}{P \vdash cn\langle x_{1..n} \rangle <: cn\langle x_{1..n}' \rangle, \rho}$$

$$def = \mathbf{class} \ cn \langle r_{1..n} \rangle \mathbf{extends} \ cn' \langle r_{1..m} \rangle ...$$

$$def \in P$$

$$P \vdash cn' \langle x_{1..m} \rangle <: cn'' \langle x'_{1..p} \rangle, \ \rho$$

$$P \vdash cn \langle x_{1..n} \rangle <: cn'' \langle x'_{1..p} \rangle, \ \rho$$

$$\frac{P \vdash t <: t', \rho \qquad \Psi \Rightarrow ctr(\rho)}{P; R; \Psi \vdash_{type} t} \frac{P; R; \Psi \vdash_{type} t'}{P; R; \Psi \vdash t <: t'}$$

The first two rules attempt to derive the needed region equality constraint in terms of a substitution mapping. The third rule checks that the derived equality constraint is valid under  $\Psi$ . Note that function  $ctr(\rho)$  converts a substitution mapping to its corresponding set of equality constraints. For example,  $ctr([x_1 \mapsto v, x_2 \mapsto w]) = (x_1 = v \land x_2 = w)$ .

We shall now describe typing relations for classes and methods.

Well formed class definitions: P ⊢<sub>def</sub> def
 This relation states that class declaration def is well-formed in program P.

$$\begin{aligned} \textit{def} &= \mathbf{class} \; cn \langle r_{1...n} \rangle \; \mathbf{extends} \; c \; \mathbf{where} \; \varphi \; \{ \textit{field}_{1...p} \; \textit{meth}_{1...q} \} \\ & \textit{def} \in P \quad \varphi \Rightarrow \forall i : 2..n \cdot r_i \succeq r_1 \\ & R = \{r_1, \ldots, r_n, \textit{heap} \} \\ & \forall i : 1..q \cdot P; \{ \textit{this} : cn \langle r_{1...n} \rangle \}; \; R; \varphi \vdash_{\textit{meth}} \textit{meth}_i \\ & \forall i : 1...p \cdot P; \; R; \varphi \vdash_{\textit{formal}} \textit{field}_i \end{aligned}$$

A class is well defined if all its fields and methods are well-formed and the constraint specifies necessary lifetime relations among its regions.

Well formed methods: P; Γ; R; Ψ ⊢<sub>meth</sub> meth
 This relation specifies that method meth is well-defined with respect to program P, type environment Γ, the set of live regions R and the region constraint Ψ.

Region constraint of variables are checked at declaration block. For each type, all regions used must be alive in R and has an invariant that is satisfied by the expected context,  $\Psi'$ .

Our next typing relation  $P; \Gamma; R; \Psi \vdash e : t$  indicates that expression e is of type t with respect to program P, type environment  $\Gamma$ , the set of alive regions R and the constraint  $\Psi$ . Some cases are defined next.

· Constants and variables.

$$\frac{P; R; \Psi \vdash_{type} cn\langle r_{1..n} \rangle}{P; \Gamma; R; \Psi \vdash (cn\langle r_{1..n} \rangle) \text{ null} : cn\langle r_{1..n} \rangle}$$

$$\frac{P; R; \Psi \vdash_{\textit{type}} t_k}{P; \Gamma; R; \Psi \vdash k: t_k}$$

$$\frac{(v:t) \in \Gamma}{P;\Gamma;R;\Psi \vdash v:t}$$

Take note that region constraints of variables are checked at declaration sites, rather than at their uses.

• Expression block.

$$\begin{aligned} &P R; \Psi \vdash_{type} t_i \quad i = 1, ..., p \\ &\Gamma' = \Gamma + (v_i:t_i)_{i:1..p} \\ &P \Gamma'; R; \Psi \vdash e:t \end{aligned}$$
 
$$\overline{P; \Gamma; R; \Psi \vdash \{(t_i \ v_i)_{i:1..p} \ e\} : t}$$

Object creation.

$$\begin{array}{c} P; R; \Psi \vdash_{type} cn\langle x_{1..n}\rangle \\ fieldlist(cn\langle x_{1..n}\rangle) = (t_i \ f_i)_{i:1..p} \\ \underline{(v_i:t_i') \in \Gamma \quad P; R; \Psi \vdash t_i' <: t_i \quad i=1,..,p} \\ P; \Gamma; R; \Psi \vdash \mathbf{new} \ cn\langle x_{1..n}\rangle(v_1,..,v_p) : cn\langle x_{1..n}\rangle \end{array}$$

Note that function  $fieldlist(cn\langle x_{1...n}\rangle)$  returns a list comprising all available fields in class  $cn\langle x_{1...n}\rangle$ , including the fields of its superclasses. They are organised in an order determined by the constructor function.

• Object field access.

$$P \vdash (t \ f) \in cn\langle r_{1..n}\rangle$$

$$(v : cn\langle a_{1..n}\rangle) \in \Gamma$$

$$t' = [r_1 \mapsto a_1, ..., r_n \mapsto a_n]t$$

$$P; \Gamma; R; \Psi \vdash v.f : t'$$

Object field update and assignment.
 Let lhs = v | v f be either a variable v or an object field v.f.
 The following rule covers both object field update and general assignment.

$$P; \Gamma; R; \Psi \vdash lhs : t$$

$$P; \Gamma; R; \Psi \vdash e : t'$$

$$P; R; \Psi \vdash t' <: t$$

$$P; \Gamma; R; \Psi \vdash lhs = e : \mathbf{void}$$

• Method invocation.

We highlight instance method invocation. The rule for static method invocation is similar.

$$\begin{array}{c} (v_0: cn\langle a^+\rangle) \in \Gamma \quad P \vdash \textit{meth} \in cn\langle r^+\rangle \\ \textit{meth} = t \; \textit{mn}\langle r'^*\rangle((t_i \; v_i)_{i:1..n}) \; \textbf{where} \; \varphi_0 \; eb \\ (v_i': t_i') \in \Gamma, \; i=1,..,n \\ \rho =_{df} [r^+ \mapsto a^+, \; r'^* \mapsto a'^*] \quad \Psi \Rightarrow \rho \varphi_0 \\ P; R; \Psi \vdash t_i' <: \rho t_i, \; i=1,..,n \\ P; \Gamma; R; \Psi \vdash v_0.\textit{mn}\langle a'^*\rangle(v_{1..n}') : \rho t \end{array}$$

• Sequential composition.

$$\begin{array}{c} P; \Gamma; R; \Psi \vdash e_1 : t_1 \\ P; \Gamma; R; \Psi \vdash e_2 : t_2 \\ \hline P; \Gamma; R; \Psi \vdash e_1 \ ; \ e_2 : t_2 \end{array}$$

Conditional.

$$\begin{array}{c} v: \mathbf{bool}\langle\rangle \in \Gamma \\ P; \Gamma; R; \Psi \vdash eb_1: t_1 \quad P; R; \Psi \vdash t_1 <: t \\ P; \Gamma; R; \Psi \vdash eb_2: t_2 \quad P; R; \Psi \vdash t_2 <: t \\ P; \Gamma; R; \Psi \vdash \mathbf{if} \ v \ \mathbf{then} \ eb_1 \ \mathbf{else} \ eb_2: t \end{array}$$

Conditional branches are checked separately before a most specific super-class is identified through subtyping.

· Region declarations.

$$\begin{array}{c} \varphi = (R \succ r) \quad freereg(t) \subseteq R \\ P; \Gamma; R \cup \{r\}; \Psi \land \varphi \vdash eb : t \\ \hline P; \Gamma; R; \Psi \vdash \textbf{letreg } r \ \textbf{in} \ eb : t \end{array}$$

Local blocks are checked with an extra live region, and the constraint  $R \succ r$ , which is an abbreviation for  $\bigwedge_{r' \in R} (r' \succeq r \land r' \neq r)$ . Also, freereg(t) returns all free region variables in t.

Lastly, we give the typing relation for the entire program using  $\vdash P : t$ . It indicates that program P is well-typed (with type t).

$$WFC lasses(P) \quad Fields Once(P) \\ Methods Once(P) \quad Inheritance OK(P) \\ P = def_{1...n} \quad meth_{1...m} \quad eb \quad Q \quad \forall i: 1...n \cdot P \vdash_{def} def_i \\ \forall i: 1...m \cdot P; \emptyset; \{heap\}; true \vdash_{meth} meth_i \\ P; \emptyset; \{heap\}; true \vdash eb: t \\ \vdash P: t$$

A region-annotated program is well typed if all declared classes and static methods are well-formed and the body of the program is well-typed. The predicates in the premise are used to capture standard well-formedness conditions for objectoriented programs. That is:

- no duplicate definitions of classes and no cycle in class hierarchy.
- no duplicate definitions of fields.
- no duplicate definitions of methods.
- soundness of class subtyping and method overriding.

The auxiliary rules for these predicates are omitted here. Our region type system enjoys several properties, like a well-typed program will never go wrong, the execution of a well-type program will not generate any dangling pointers. These memory-safety properties are very important for region-based memory management. The dynamic semantics and the proofs for all these safety properties will appear in a future paper.

## IV. RELATED WORK

Tofte and Talpin [14], [15] proposed a region inference approach for a typed call-by-value  $\lambda$ -calculus, and tested their approach in a region-based implementation of Standard ML. In their approach, all values (including function values) are put into regions at runtime, and all points of region placement can be inferred automatically using a type and effect based program analysis. The treatment of reference type is similar to that for objects; as all values stored in a given reference must be placed in the same region which in turn outlives the region where the reference is located. Apart from this imperative feature, their approach is focused mainly on functional language features.

Christiansen and Velschow proposed a similar region-based approach to memory management in Java [5]. They call their system RegJava, in which a stack of lexically scoped regions are introduced for the allocation of objects. They proposed a region type system and demonstrated its soundness by linking the static semantics with the dynamic semantics. However, their system adopts the close world assumption, thus modular compilation cannot be supported.

Gay and Aiken implemented a region-based extension of C, called C@, which used reference counting on regions to safely allocate and deallocate regions with a minimum of overhead [10]. Using special region pointers and explicit deleteregion calls, they provided a means of explicitly manipulating regionallocated memory. This approach allows non-lexical regions where earlier deallocation of regions are possible, but stack implementation of regions is no longer valid. Their work indicated that region-based programming often use less memory and is faster than traditional malloc/free-based memory management. However, counting escaping references can incur noticeable overhead.

Beebee and Rinard [1] gave an early implementation of scoped memory for Real-Time Java in the MIT Flex compiler infrastructure. They relied on both static analysis and dynamic debugging to help locate incorrect uses of scoped memory. Later, Boyapati *et. al.* [4] combined region types [14], [15], [8], [11], [5] and ownership types [7], [6], [2], [3] in a unified framework to capture object encapsulation and yet prevent dangling references. Their static type system guaranteed that scope-memory runtime checks will never fail for well-typed programs. It also ensured that real-time threads do not interfere

with the garbage collector. Using object encapsulation, an object and all components it *owns* are put into the same region; in order to optimize on the regions used. Our region type system is quite similar to theirs, but we separate out object encapsulation and RTSJ issues, and prefer to infer region types automatically (will appear in a future paper).

### V. CONCLUDING REMARKS

Our eventual aim is to provide a fully-automatic region inference type system for a core subset of Java. To achieve this, we proposed a new region type system in this paper, which allows classes and methods to be region-polymorphic, with polymorphic recursion for methods. We have seen how appropriate region instantiations are decided by the region lifetime constraints, which are meant exclusively at preventing dangling references.

The present work is at a preliminary stage, there remain a number of important issues that should be resolved and improved further. We are currently working on the safety of our region type system and an automatic inference algorithm to insert region annotations into normal Java programs. These results will be reported in a future paper.

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