

Harvard-MIT Division of Health Sciences and Technology

HST.725: Music Perception and Cognition

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# Music Perception & Cognition



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[www.cariani.com](http://www.cariani.com)

(Image removed due to copyright considerations.)



# Outline

- **Course mechanics**
- **Class survey**
- **Music, mind, and brain**
  - **FORM & QUALITY**
  - **PATTERNS OF EVENTS IN TIME**
  - **NEURAL MECHANISMS**
  - **MEMORY/GROUPING**
  - **EMOTION/MEANING**
  - **ORIGINS**
- **Overview of topics**
- **Music introduction**

# Texts

Deutsch, D. ed. 1999. The Psychology of Music. San Diego: Academic Press.  
REQUIRED

Handel, S. 1989. Listening: an Introduction to the perception of Auditory Events.  
MIT Press. REQUIRED.

Snyder, Bob. 2000. Music and Memory. MIT Press. REQUIRED.

McAdams & Bigand. 1993. Thinking in Sound: The Cognitive Psychology of  
Human Audition. Oxford. Recommended. (Available used for ~\$6 via  
[www.half.com](http://www.half.com))

Aello, R. ed. 1994. Musical Perceptions. Oxford University Press. Recommended.

Moore BCJ. 2003. An Introduction to the Psychology of Hearing, Fifth Ed.. San  
Diego: Academic Press. Recommended.

## Course rationale(s)

- Music is an important aspect of the auditory sense that rivals speech and language in complexity
- Many of us come to auditory research through a native interest in music
- Music affords an alternative perspective on hearing and neuroscience, spanning acoustics, sensory physiology, auditory perception & auditory cognition
- We strive to be systematic and integrative in our treatment (lecture format)
- a primary goal is to facilitate intellectual synthesis; to organize disparate facts into coherent wholes
- We want students to choose & formulate their own problems, articulate their own perspectives, and delve deeply into an area of personal interest (term projects)

## Organizing themes: Music, mind, and brain

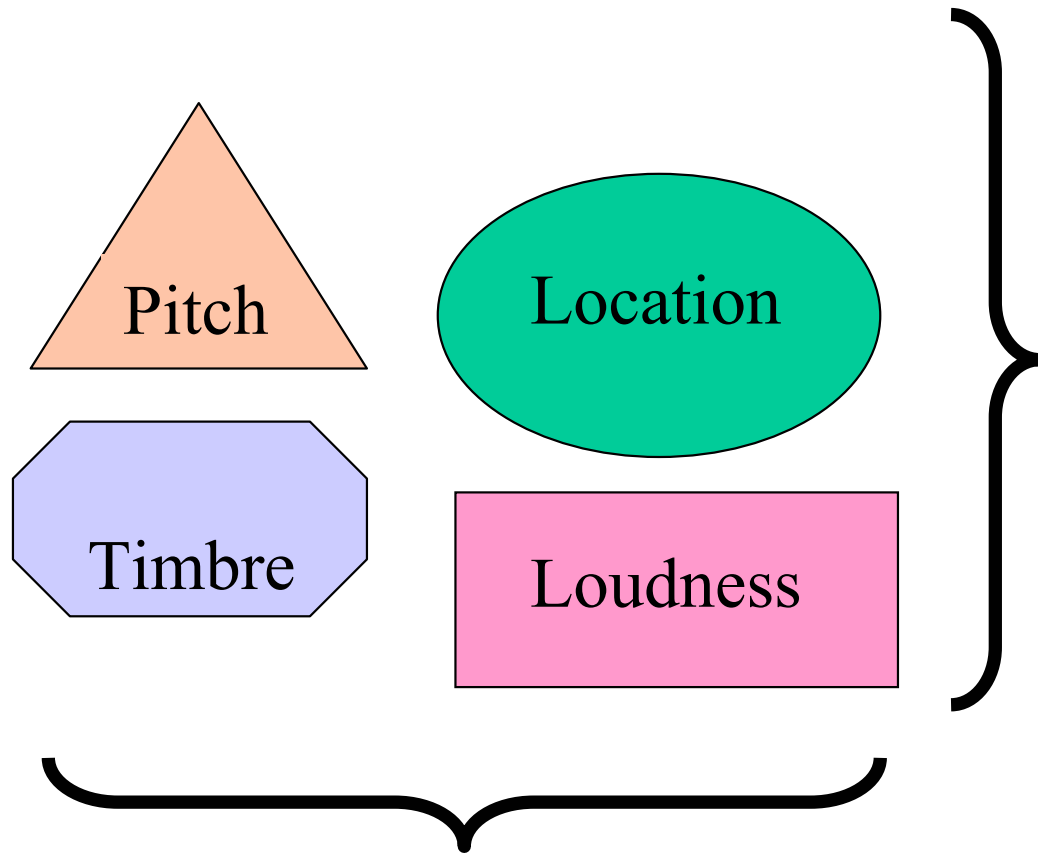
- **FORM & QUALITY OF SOUNDS (tones)**
- **PATTERNS OF EVENTS IN TIME (events)**
- **NEURAL MECHANISMS**
- **MEMORY & ORGANIZATION**
- **EMOTION & MEANING**
- **ORIGINS: Why music?**

# Auditory qualities in music perception & cognition

- **Pitch**                      **Melody, harmony, consonance**
- **Timbre**                      **Instrument voices**
- **Loudness**                      **Dynamics**
- **Organization**                      **Fusions, objects. How many voices?**
  
- **Rhythm**                      **Temporal organization of events**
- **Longer pattern**                      **Repetition, sequence**
  
- **Mnemonics**                      **Familiarity**
- **Hedonics**                      **Pleasant/unpleasant**
- **Semantics**                      **Cognitive & emotional associations**

# Basic auditory qualities

## Dimensions of auditory perception



**TEMPORAL  
EVENT  
STRUCTURE**  
Meter, sequence

**FUSION**  
Grouping into separate objects  
Temporal co-occurrence  
harmonic structure



John Lurie  
Car Cleveland  
Music from Stranger than Paradise

**Music: patterns of events in time  
organized relations between events**

Ludwig van Beethoven  
Bagatelle  
Opus 33, no. 5

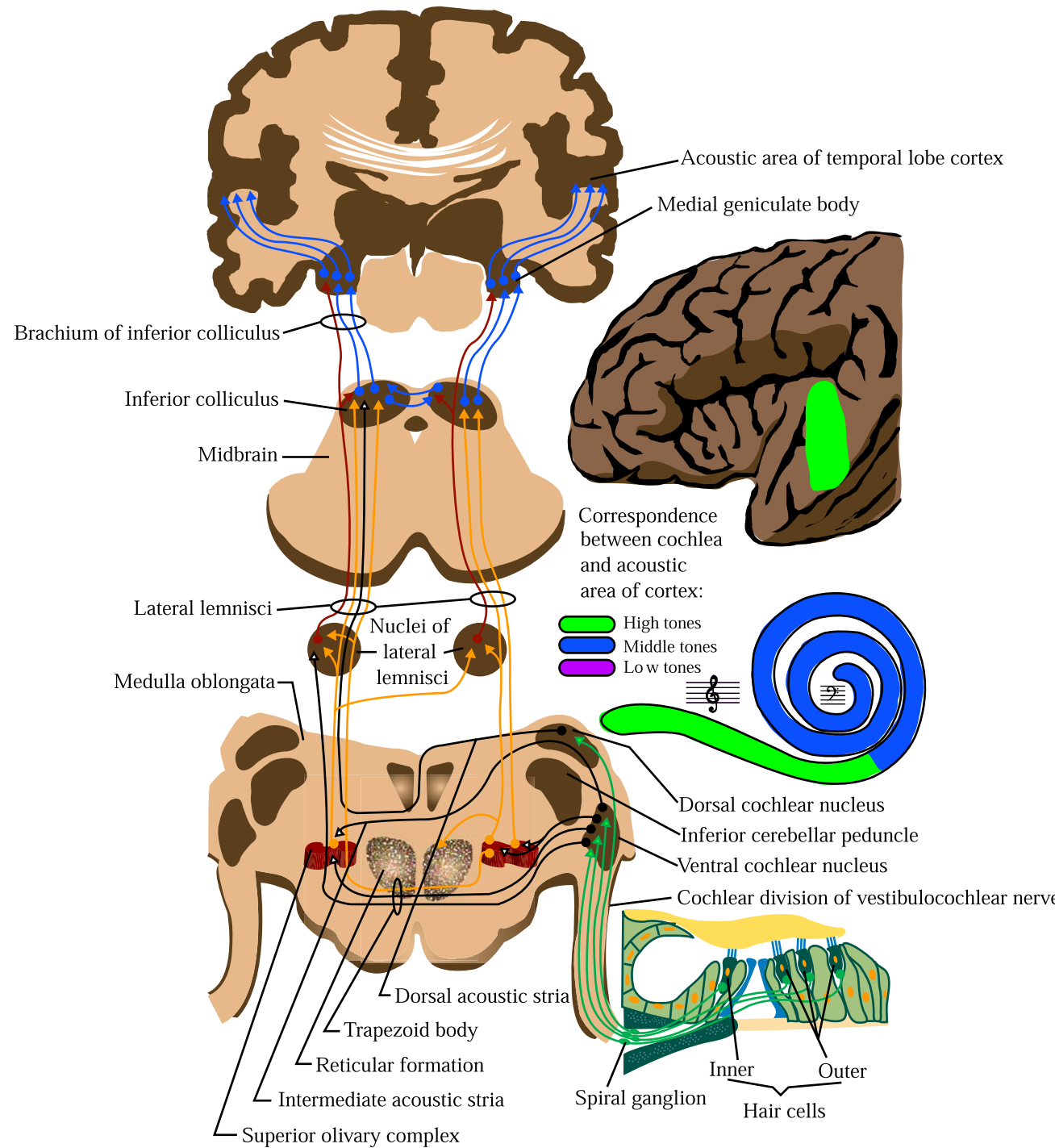
Please see Mark Malinowski's [Music Animation Machine Site](http://www.well.com/user/smalin/mam.html) (<http://www.well.com/user/smalin/mam.html>)



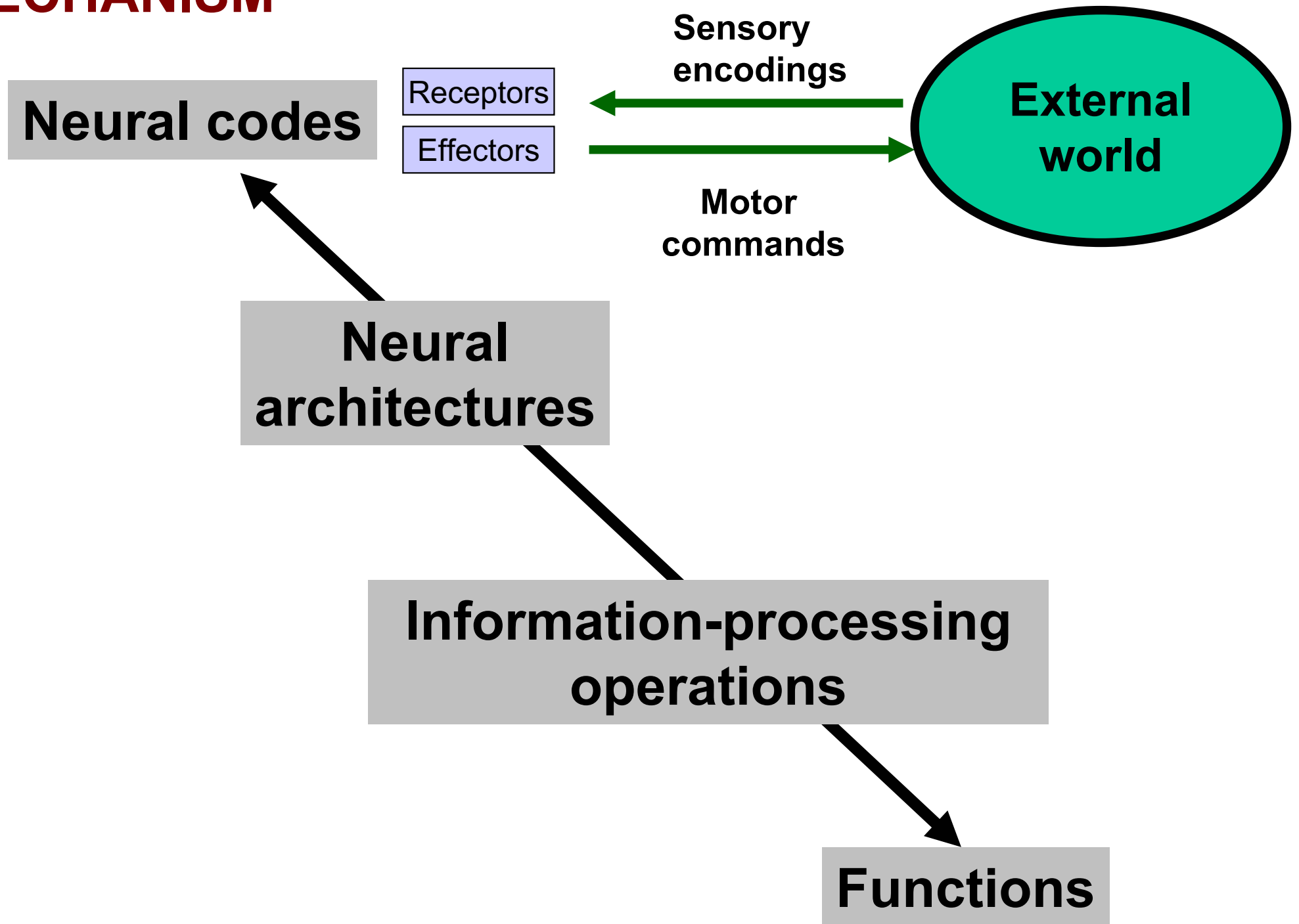
# From cochlea to cortex

- 10,000k **Primary auditory cortex (Auditory forebrain)**
- Auditory thalamus**
- 500k **Inferior colliculus (Auditory midbrain)**
- Lateral lemniscus**
- Auditory brainstem**
- 30k **Auditory nerve (VIII)**
- 3k **Cochlea**

## Afferent Auditory Pathways



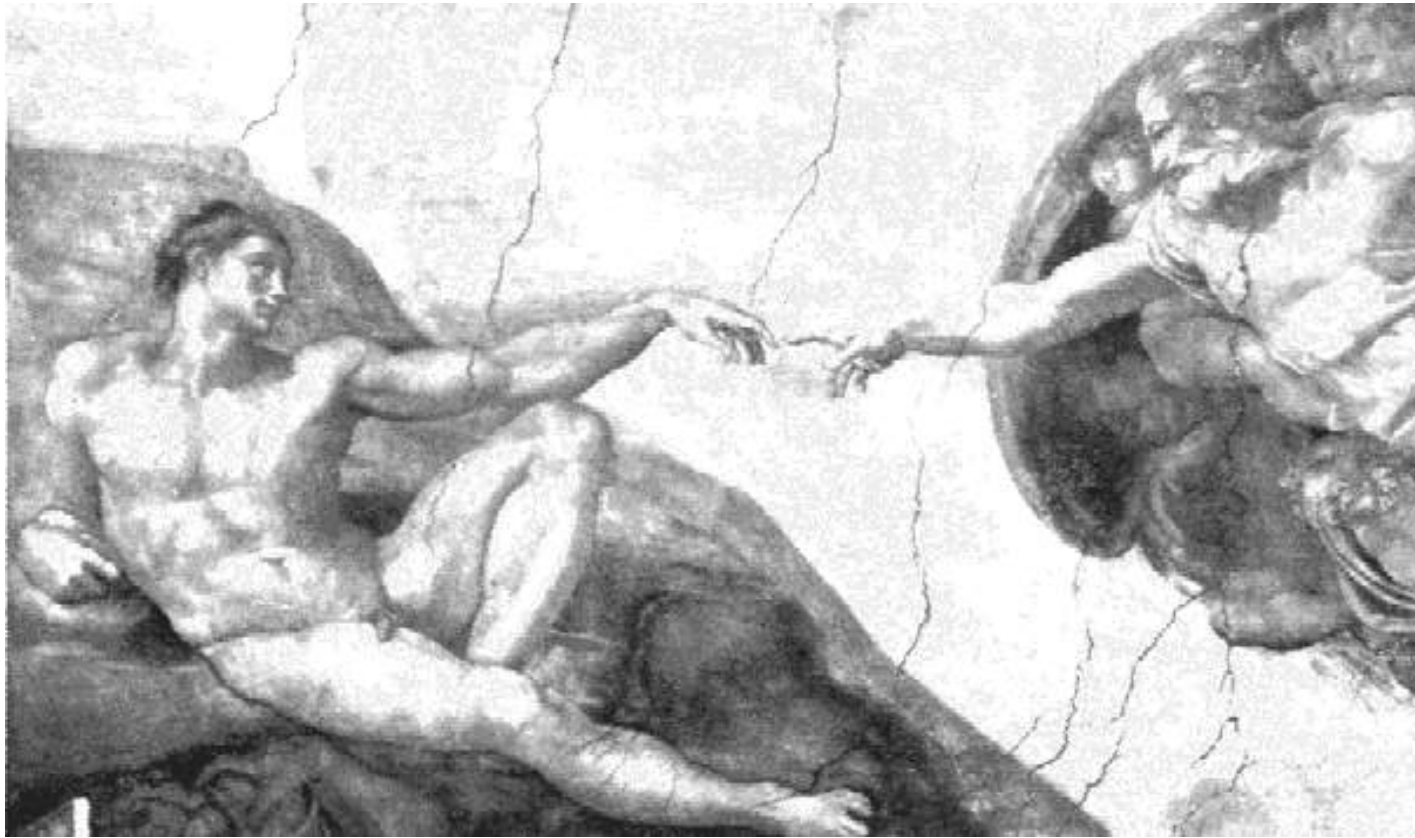
# MECHANISM



# **MECHANISM**

**Neurophysiology**  
**Neurocomputation**

**Music cognition**  
**Music theory**



**Neurophysiology**  
**Neurocomputation**

**Neural responses**

**Neural codes**

**Neuroanatomy**

**Psychoacoustics**

**Reverse-engineering**

**Explaining pitch**

**Music cognition**  
**Music theory**

**Schemas, grammars**

**Event structures**

**Tonal hierarchies**

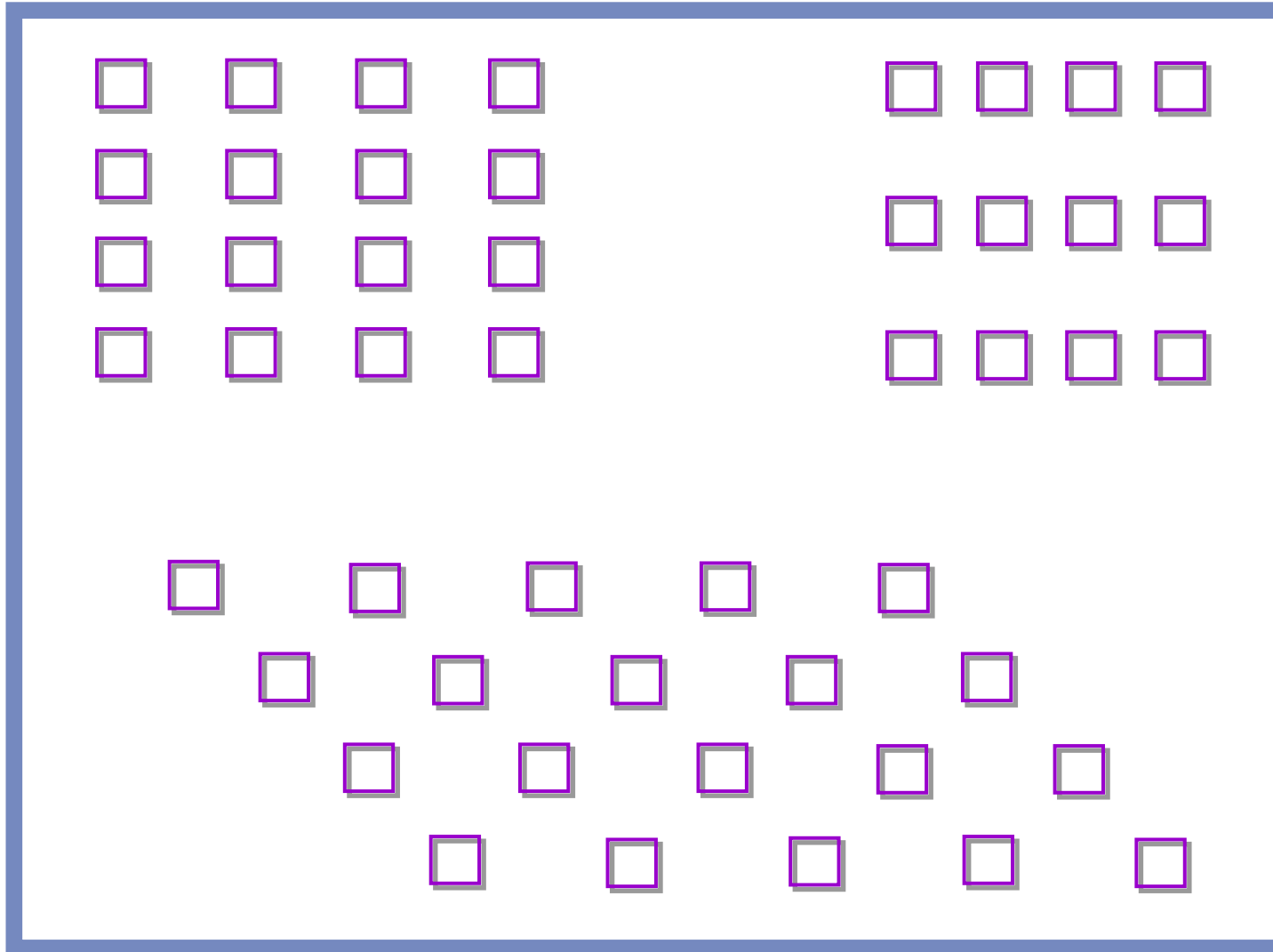
**Memory**

**Aesthetics, hedonics**

**Pitch as a primitive**

# Visual Grouping: Proximity Principle

Dember & Bagwell. "A history of perception". In *Topics in the History of Psychology*. Edited by Gregory A. Kimble & Kurt Schlesinger. Hillsdale, N.J.: L. Erlbaum Associates. 1985. ISBN: 0898593115 (v. 1) 0898593123 (v. 2).



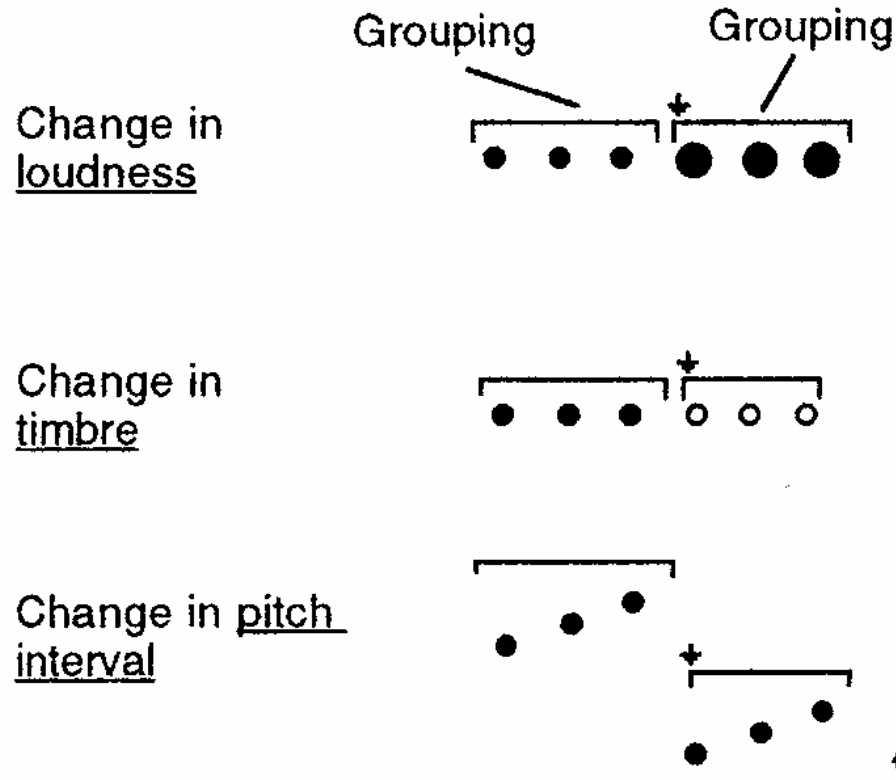
# Acoustical grouping

(Snyder, Music & Memory)

## SIMILARITY

### Sequential Grouping

(Arrows indicate point of realization of change.)



### Simultaneous Grouping

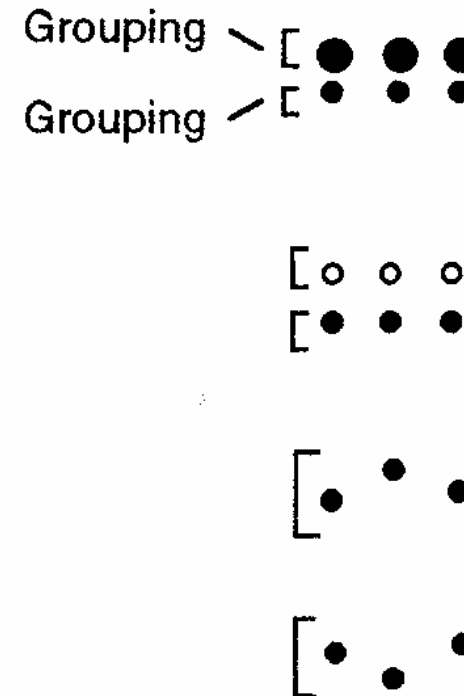


Figure 3.5  
Acoustical grouping.

# Melodic & rhythmic grouping

(Snyder, Music & Memory)

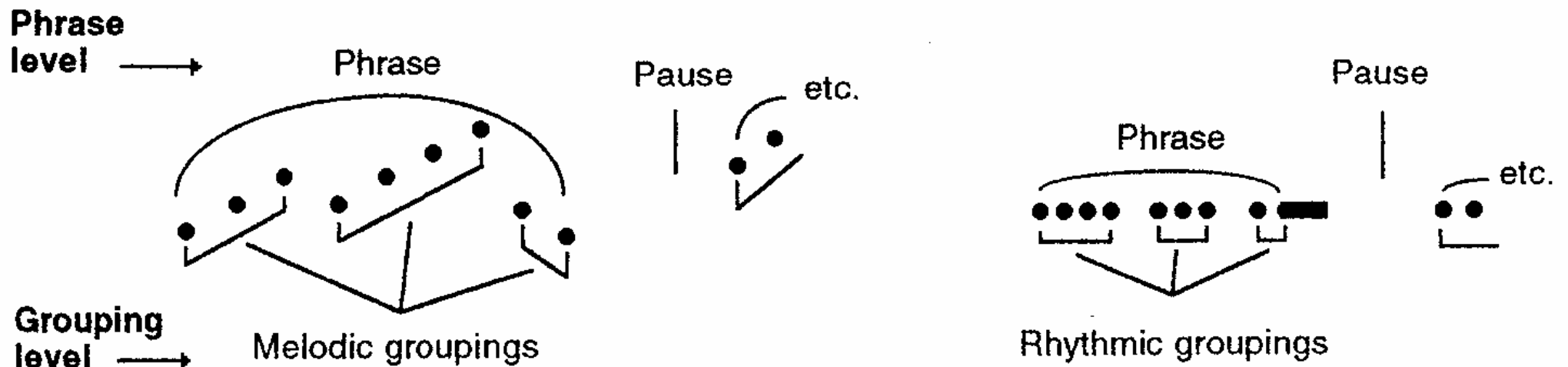
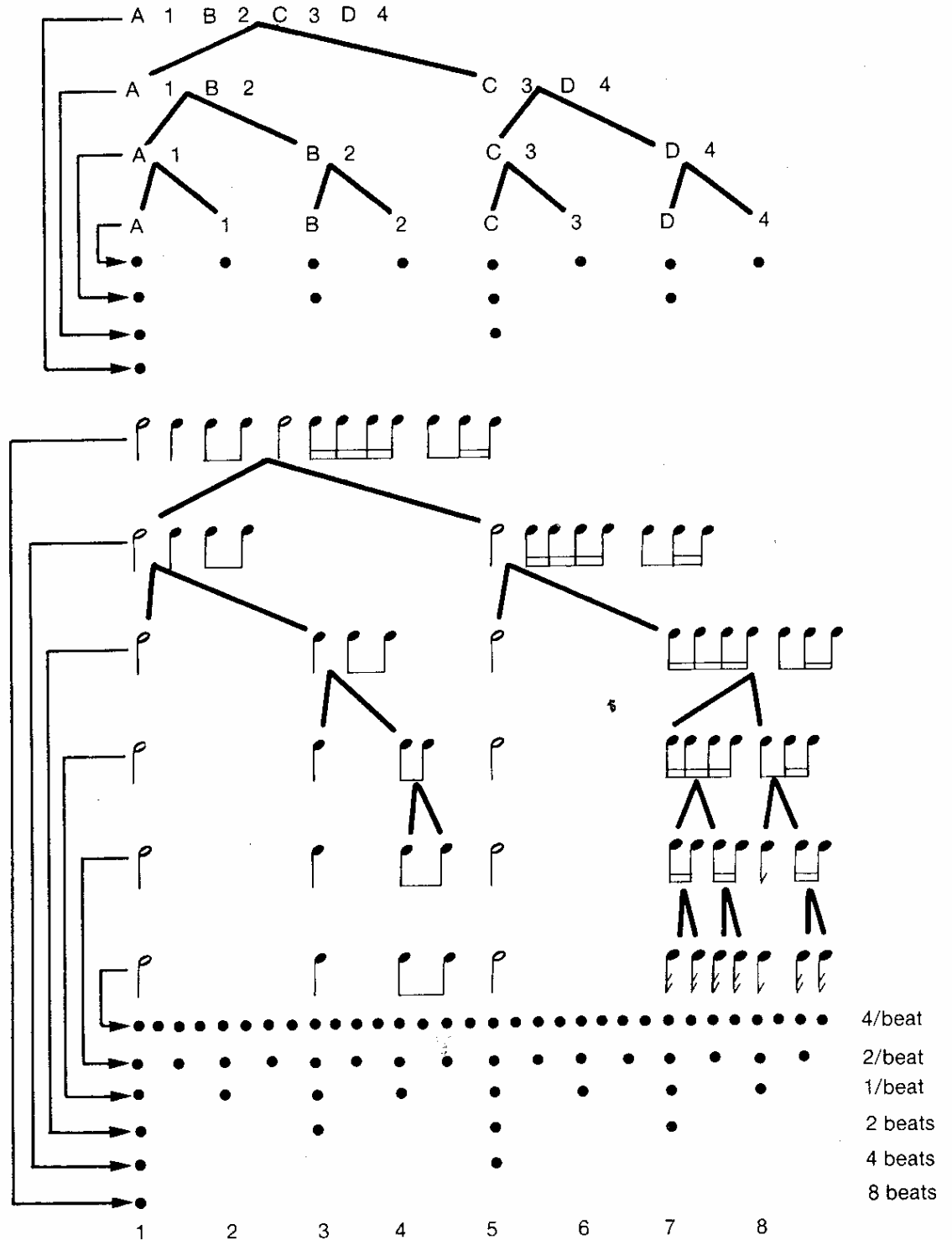


Figure 3.3  
Melodic and rhythmic grouping.

(Snyder, Bob. 2000. Music and Memory. MIT Press. ISBN: 0262194414. Used with permission.)

# Rhythmic Hierarchy





# Emotion & meaning in music

## **Psychological functions of music:** why we do it

- Perceptual-cognitive interest (formalism)
- Mood control & emotional expression (expressionism)

The meaning of meaning: semiotics

## **Sources of meaning: reference and/or construction**

- External env. associations: linkages w. memories
- Lyrics and their semantics
- Internal associations: body rhythms, patterns
- External musical associations, expectations (e.g. dirge)
- Intrinsic music expectations (harmonic & rhythmic org.)

## **What cues convey emotional meaning in music?**

Harmony, rhythm, dynamics, expressive timing

Is the minor key (intrinsically) sad?

# **Tentative schedule: February 3-10**

## **Tuesday Feb. 3 (Cariani)**

Course mechanics

Survey of topics to be covered

Overview of the structure of music

## **Thursday, Feb. 8 (Cariani)**

Overview of auditory perception and the time sense: pitch, timbre, consonance/roughness, loudness, rhythm, auditory grouping, event structure

Overview of the auditory system: Representation and processing of sounds in the auditory pathway

## **Tuesday, Feb. 10 (Cariani)**

Musical acoustics

Musical pitch

## **February 12-24**

**Thursday, Feb 12 (Oxenham)**

Psychoacoustically-based theories of hearing

**Thursday, Feb. 19 (Cariani)**

Representation of pitch in the auditory system

Neurocomputational models for pitch

Licklider, Terhardt, Grossberg & Cohen, Bharucha

Equivalence classes and octave relations; Neural evidence pros  
& cons

**Tuesday, Feb. 24 (Cariani)**

Timbre

# February 26-March 11

## **Thursday, Feb. 26** (Cariani)

Harmony I: Consonance, dissonance, and roughness

Theories: Helmholtz, Stumpf, Plomp, Terhardt

Sensory and hedonic aspects

Neural correlates (auditory nerve, midbrain, cortex)

## **Tuesday, March 2** (Cariani)

Scales and tuning systems

History, basic psychophysics, scales and tuning systems, role in music theory

Relations between auditory and cultural factors

## **Tuesday, March 9** (Tramo)

Music and the cerebral cortex. Overview of functional role of cortex in music perception & cognition.

Results of imaging and lesion studies. Hemispheric asymmetries.

## **Thursday, March 11** (Cariani)

Harmony II: chords and keys

# March 11-April 1

**Tuesday, March 16** (Cariani)

Presentation and discussion of term projects

**Thursday, March 18** (Cariani)

Melody

**Tuesday, March 30** (Cariani)

Rhythm I: Rhythm perception and production

Rhythm II: Computational models

**Thursday, April 1** (Cariani)

Time perception, event structure, and temporal expectations

Auditory scene analysis and organization of voices

Grouping of sounds – onset, harmonicity, rhythm

Grouping processes and musical structure

# **April 6-15**

## **Tuesday, April 6 (Cariani)**

Music, speech and language: parallels and contrasts (Bernstein, Jackendoff)

## **Thursday, April 8 (Cariani)**

Emotion and meaning in music

Musical semantics, music and pleasure

Music and long-term memory

## **Tuesday, April 13 (K. Howland, music therapist)**

"Clinical applications of the neuropsychology of music." Guest speaker Kathleen M. Howland Ph.D., MT-BC, CCC-SLP.

## **Thursday, April 15 (Oxenham)**

Clinical issues. Music exposure and hearing loss. Music perception and hearing impairment. Music perception by cochlear implant users

# **April 22-May 13**

**Tuesday, April 27** (Cariani)

Developmental psychology of music

A question of origins: comparative and evolutionary psychology of music

**Thursday, April 29** (Cariani)

Music performance. Organization and timing of movement.

**Tuesday, May 4** (Cariani)

Special topics: absolute pitch, synesthesia, etc.

**May 6-11**

Student Term Project Presentations

**Thursday, May 13** (Cariani)

Overview and recap of major themes; other special topics



# An Introduction to Music: Sound unfolding in time

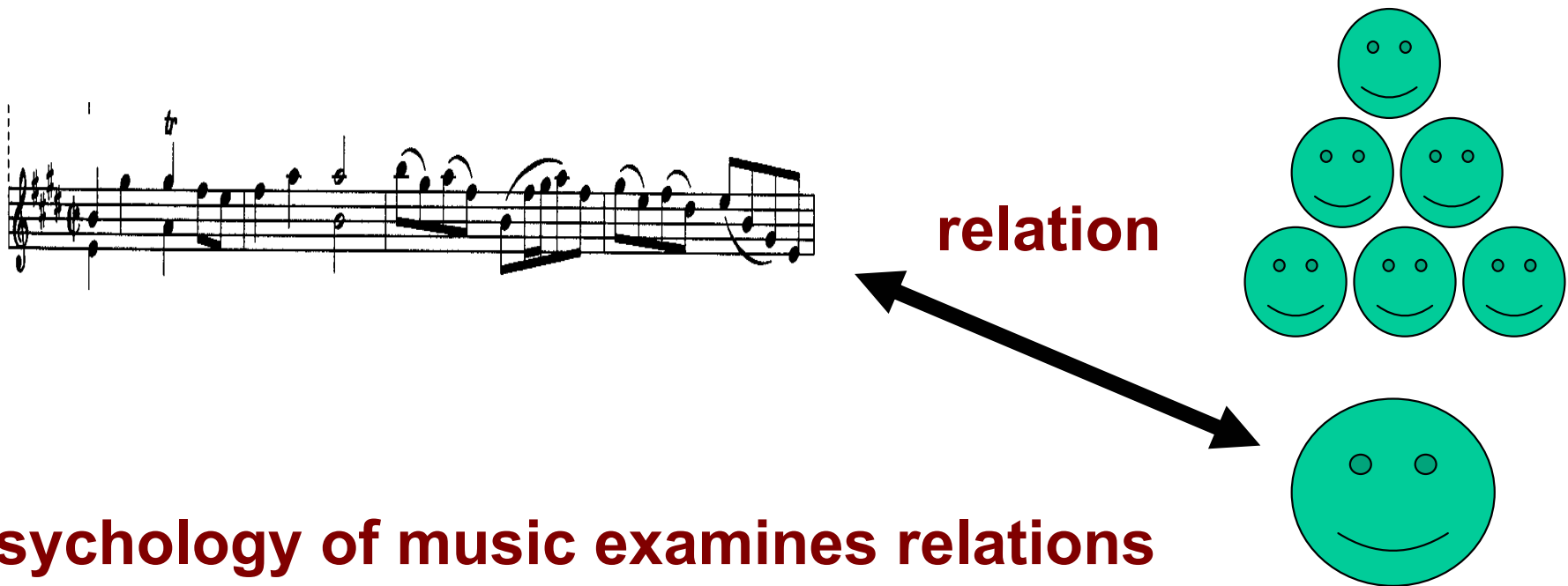
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# Sound unfolding in time: an introduction to music

- **Music: a bird's eye view; provisional definition**
- **Ubiquity of music: Nature and nurture**
- **Sound unfolding in time**
  - **Horizontal dimension (time, sequential sounds)**
    - **Melody (Temporal patterns/sequences of pitches)**
    - **Chord progressions, key modulations (Temporal patterns/sequences of pitch relations)**
    - **Rhythm (Temporal patterns/sequences of events)**
  - **Vertical dimension (sound quality, concurrent sounds)**
    - **Pitch (Dominant periodicities) & Timbre (spectrum, frequency microdynamics)**
    - **Harmony (Constellations of concurrent pitches)**
  - **Number of independent trajectories: voices, streams**
- **Relations to perceptual dimensions**
- **Psychological questions**

# Music as stimulus, idea, action, and private experience



**Psychology of music examines relations between music and mind.**

**Music is half of this relation.**

**Mind has different facets:**

**1st person experience**

**3rd person overt behavior**

**Underlying neural activity**

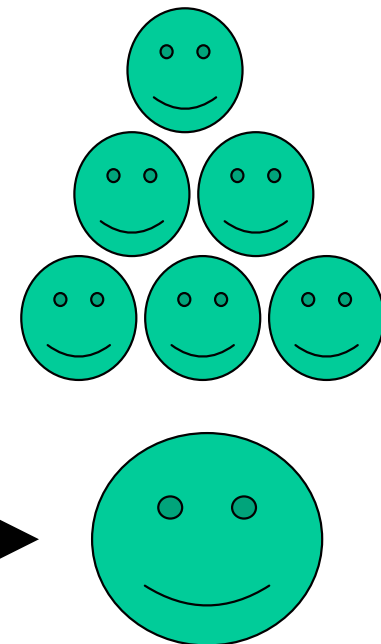
**Functional organization of  
informational processes**

# Music: a provisional definition

**Deliberate organization of patterns of sound for interest or pleasure.  
Deliberate organization of auditory experience for interest or pleasure.**

**"Organization" can involve composition or  
performance or selection of sounds  
or even selective attention to sounds (Cage)**

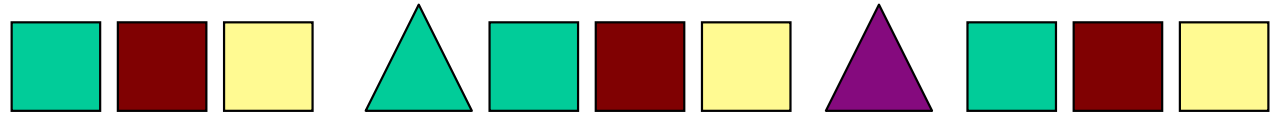
**"Interest" and "pleasure" are similarly very broadly construed.**



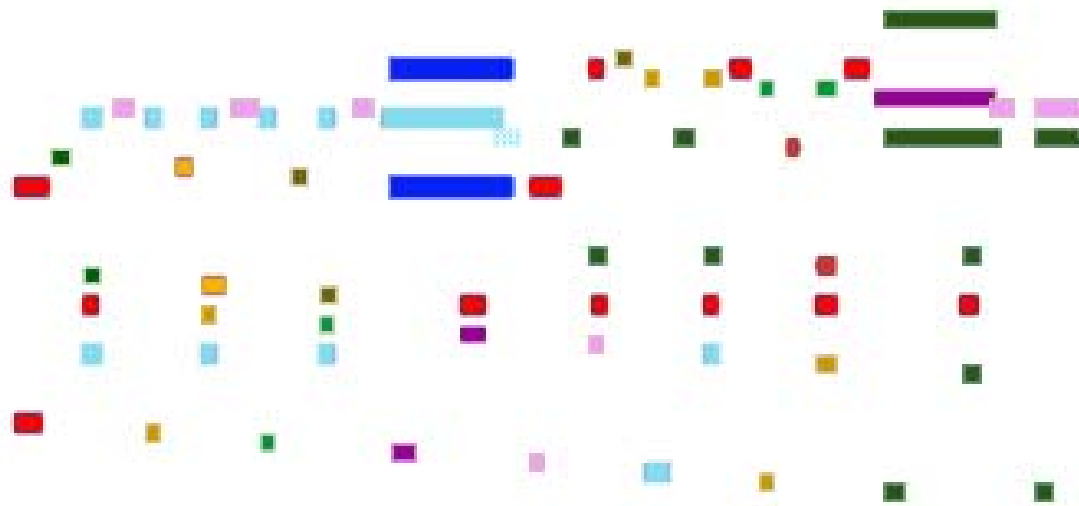
## Ubiquity of music: Nature and nurture

- Music has been part of human culture for > 40,000 years
- Every known extant culture has some form of music
- Many cultures equate musical with social harmony (Greeks)
- Relative contributions of nature (biology) & nurture (culture) to the experience of music.
- A great deal of diversity exists across cultures in the forms music takes (ethnomusicology)
- There are universals related to how we hear that are given by biology (auditory science).
- But there are also the effects of culture-based training of how we hear (what aspects we attend to).
- There are also culturally-specific interpretations and meanings associated with what is heard.
- In these lectures we will focus mainly on the universals -- basic aspects of music that are shared across cultures.
- We want a general framework for talking about music that can encompass both the Western tonal music (classical, jazz, popular) as well as all the traditional musics of the world.

# Horizontal and vertical dimensions



Tonal quality  
(pitch, spectrum)



<http://www.well.com/user/smalin/compare.htm>



Time (beats, seconds)

## Horizontal dimension (time)

Temporal patterns and sequences of sound-changes

Melody: temporal patterns of pitches

Cadences, key modulations:

temporal patterns of pitch constellations

Rhythm: temporal patterns of events

Bernstein on musical intervals and dimensions

Does music require discrete perceptual "atoms"?



## Horizontal dimension (time)

**Different musical cultures utilize different aspects of musical possibility. Ethnomusicologists, anthropologists, and historians have theories as to why cultures adopt particular musical styles.**

**Examples of music that are focused on melody.**

**(Traditional fiddle-playing in France -- video)**

**(Gasparyan, Armenian flute music)**

**Indian ragas**

**Examples of musics focused on chord progressions**

**Western symphonic "classical" music, Rock**

**Examples of music focused on rhythm**

**African drumming (many examples)**

**Mbira music, Senegal -- video**

## **Vertical dimension (Harmony)**

**Patterns of concurrent sounds**

**Constellations of pitches (intervals, chords)**

**Sound texture (timbre)**

**Number of independent voices**

**Example of horizontal and vertical organization:  
Satie Music Animation Machine**

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**Horizontal dimension involves temporal context & memory**

**Build-up of representations and expectancies**

**Vertical dimension involves tonal interactions**

**Masking, fusions of sounds**



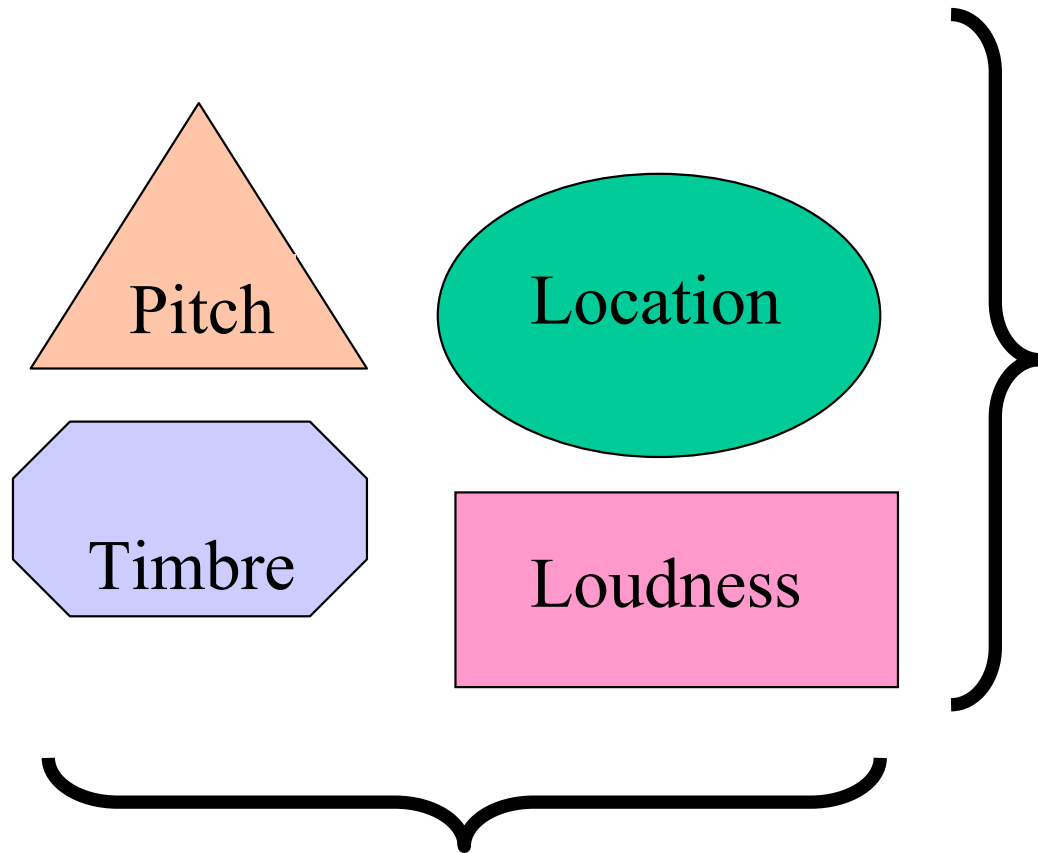
## **Rethinking the role of time**

- **Time as coding auditory quality (pitch, timbre, rhythm)**
- **Time as metrical structure of events**  
**Repetition and change in music**  
**Buildup of temporal pattern expectations**
- **Time as ordinal sequence of events**

**Perception  
cognition  
&  
Motor  
domains**

# Basic auditory qualities

## Dimensions of auditory perception



**TEMPORAL  
EVENT  
STRUCTURE**  
Meter, sequence

**FUSION**  
Grouping into separate objects  
Temporal co-occurrence  
harmonic structure

John Lurie  
Car Cleveland  
Music from Stranger than Paradise

# Auditory qualities in music perception & cognition

- **Pitch** Melody, harmony, consonance
- **Timbre** Instrument voices
- **Loudness** Dynamics
- **Organization** Fusions, objects. How many voices?
  
- **Rhythm** Temporal organization of events
- **Longer pattern** Repetition, sequence
  
- **Mnemonics** Familiarity
- **Hedonics** Pleasant/unpleasant
- **Semantics** Cognitive & emotional associations

# Sound

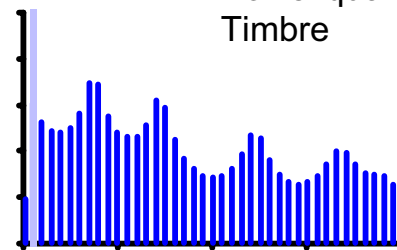
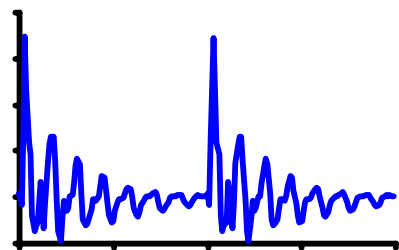
Waveforms

Power Spectra

Autocorrelations

[ae]

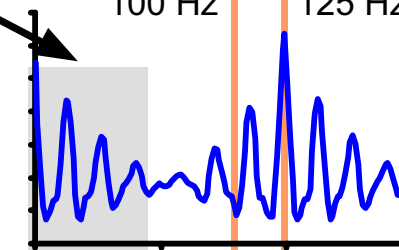
F0 = 100 Hz



Pitch periods, 1/F0

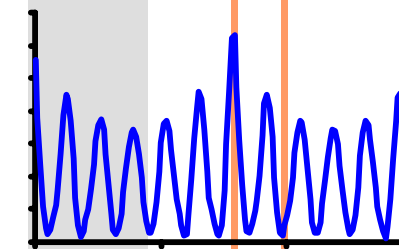
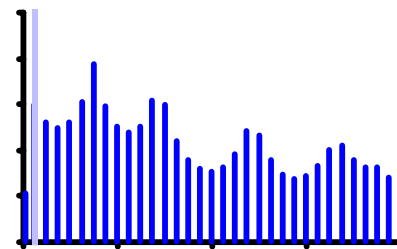
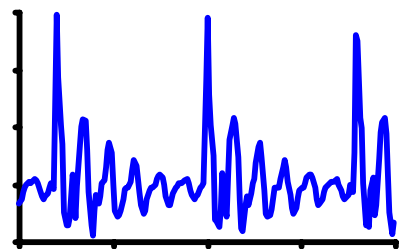
100 Hz

125 Hz



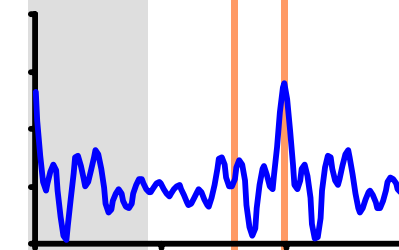
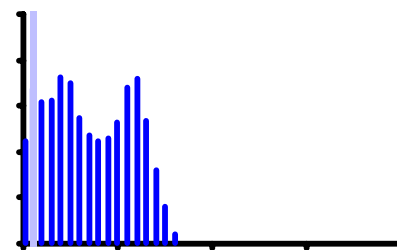
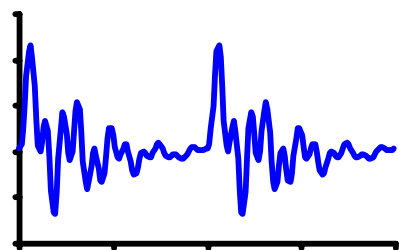
[ae]

F0 = 125 Hz



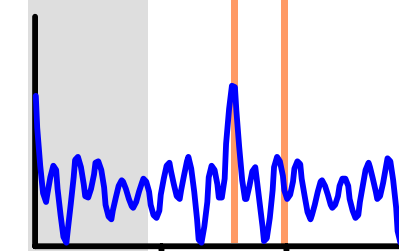
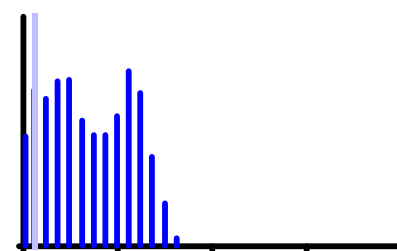
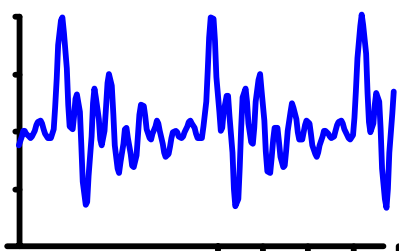
[er]

F0 = 100 Hz



[er]

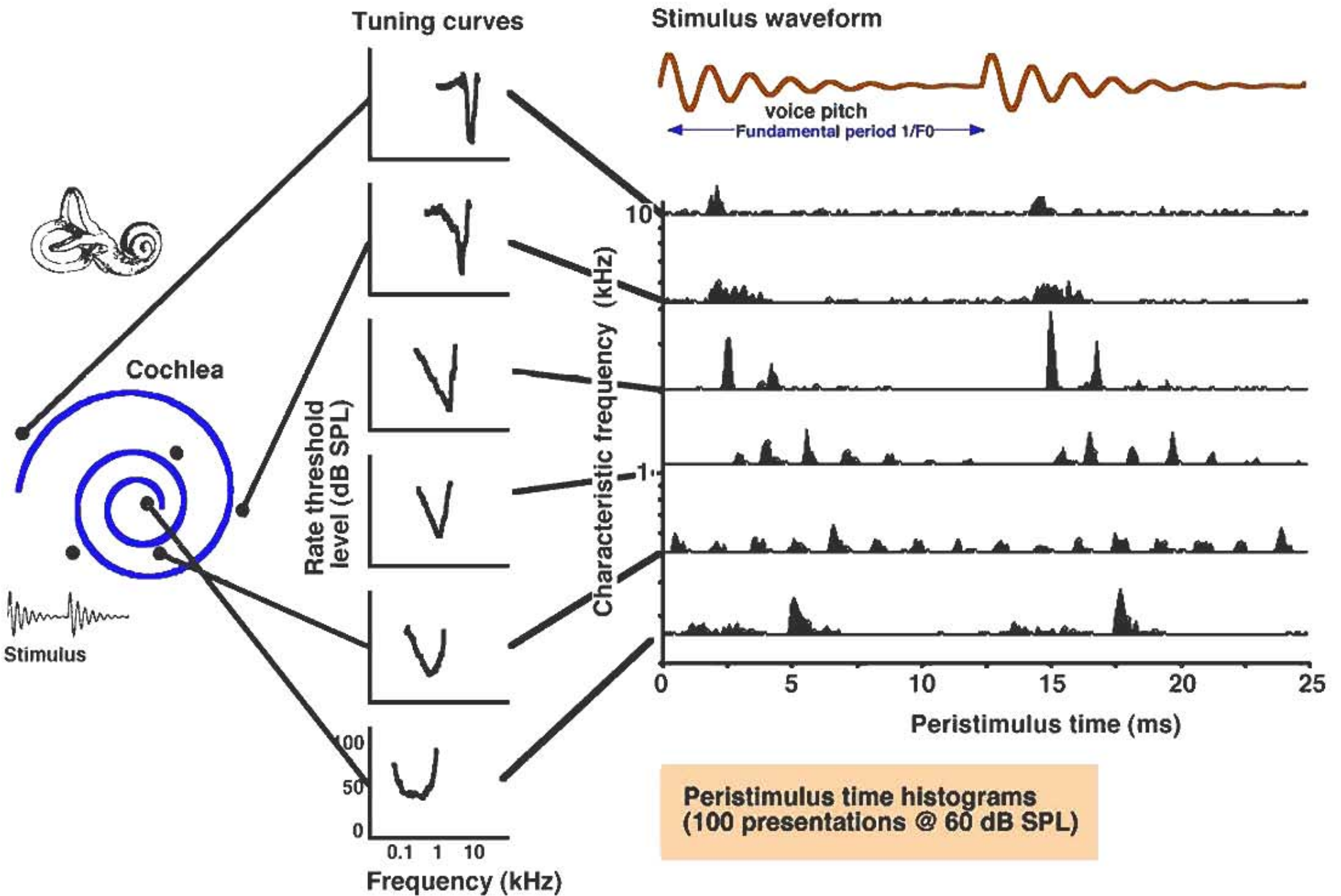
F0 = 125 Hz



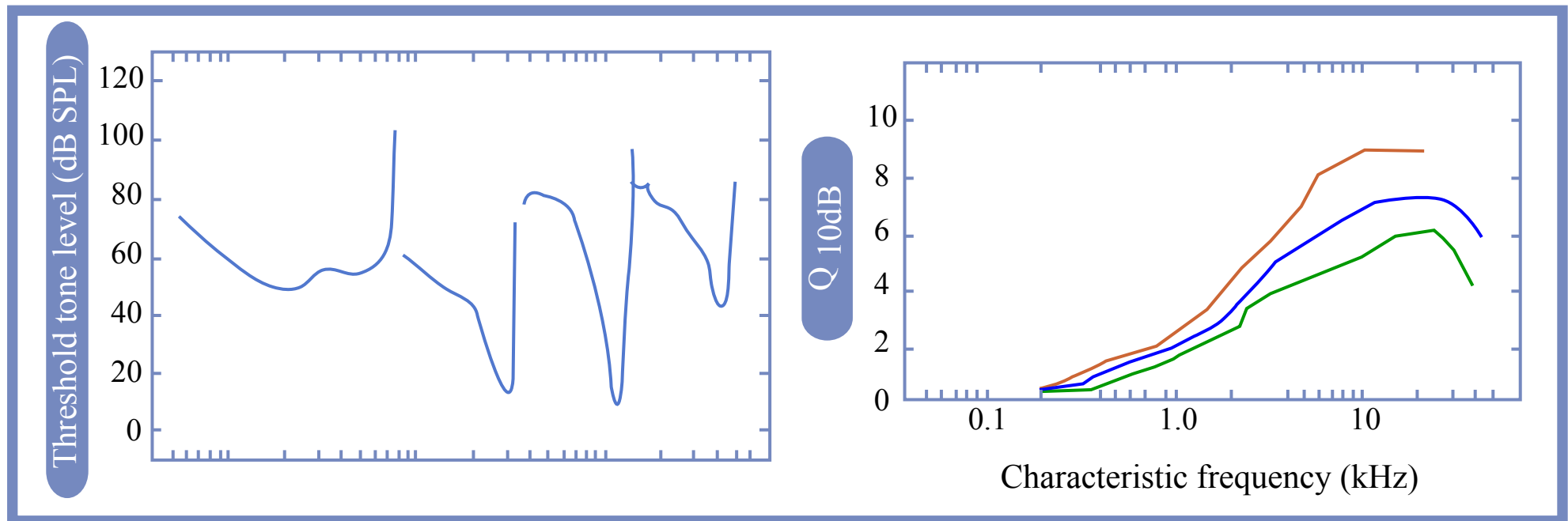
Time (ms)

Frequency (kHz)

Interval (ms)

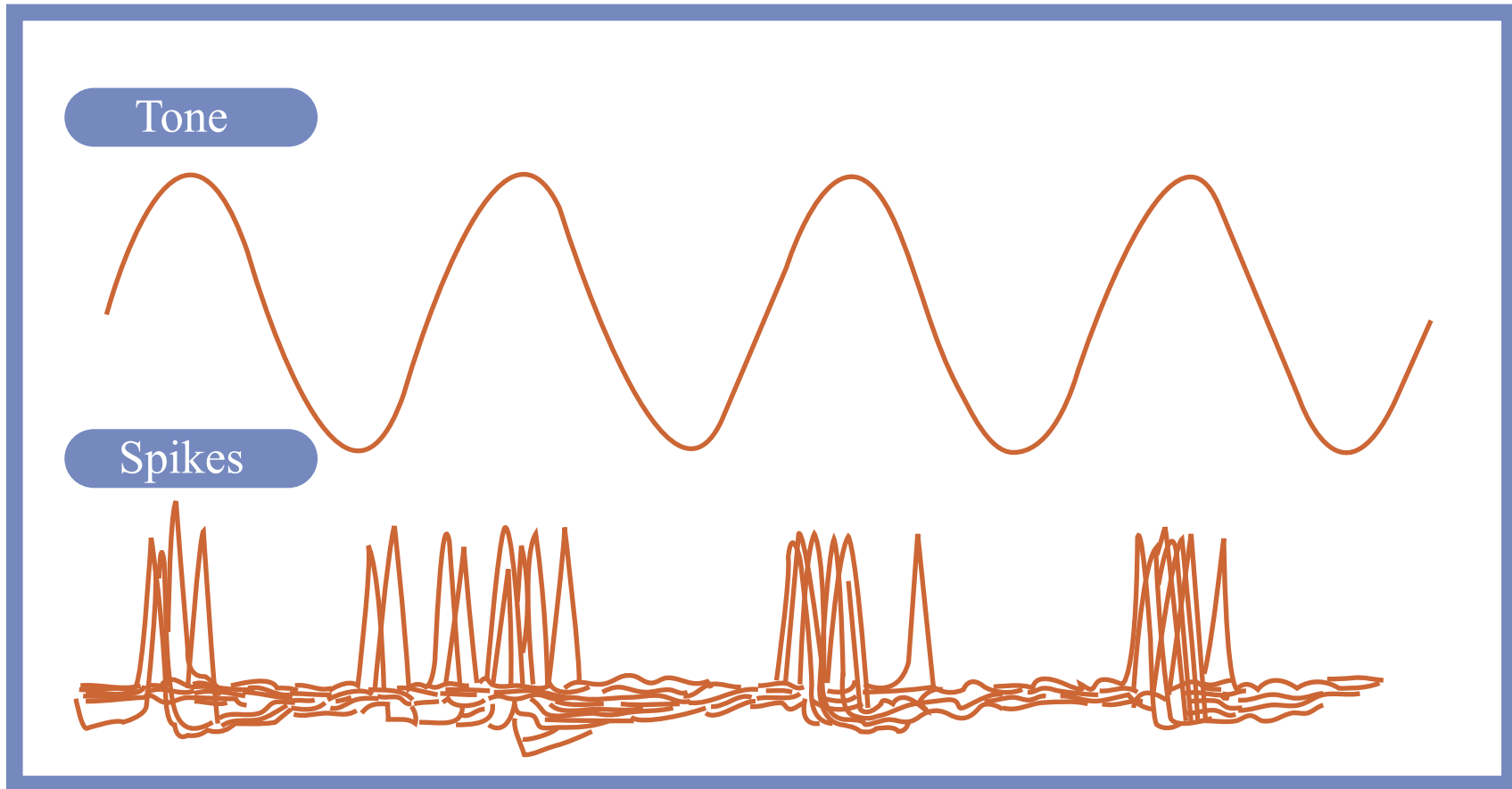


# Frequency tuning of Auditory Nerve Fibers as a function of CF

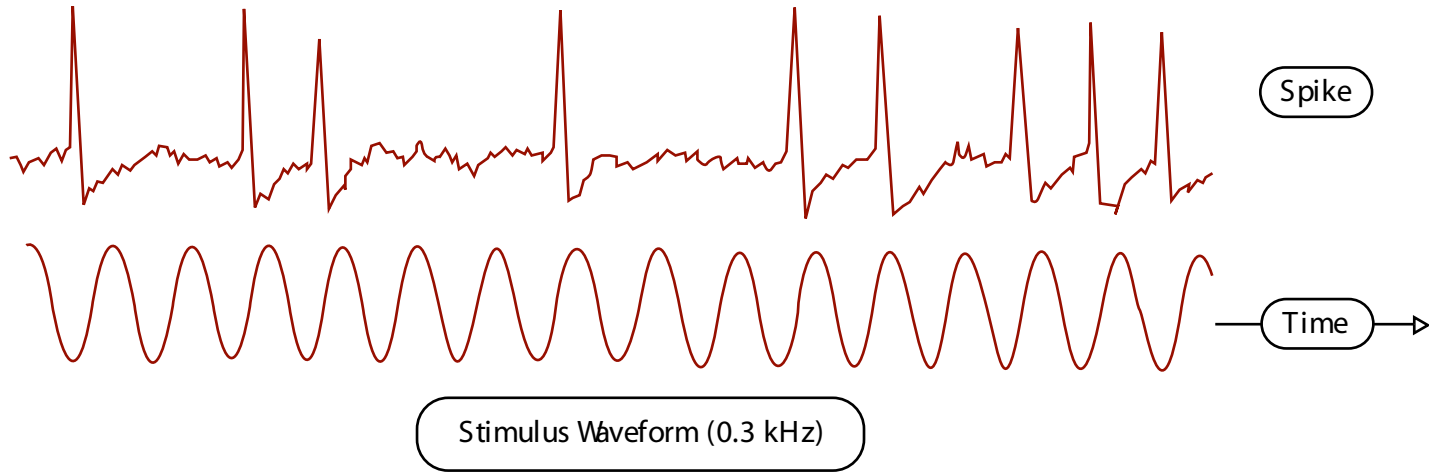


Figures adapted from a figure by Alan Palmer (From Palmer and Evans, unpublished data.)

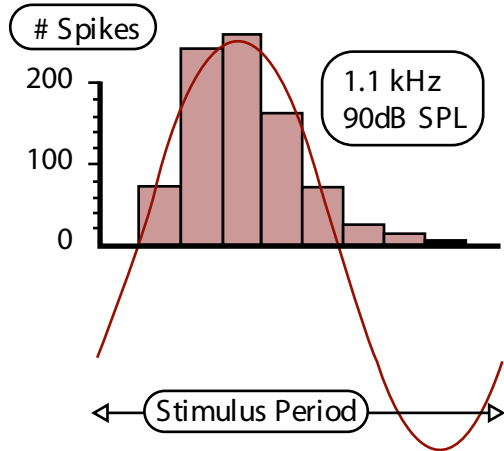
# Phase-locking in auditory nerve fibers



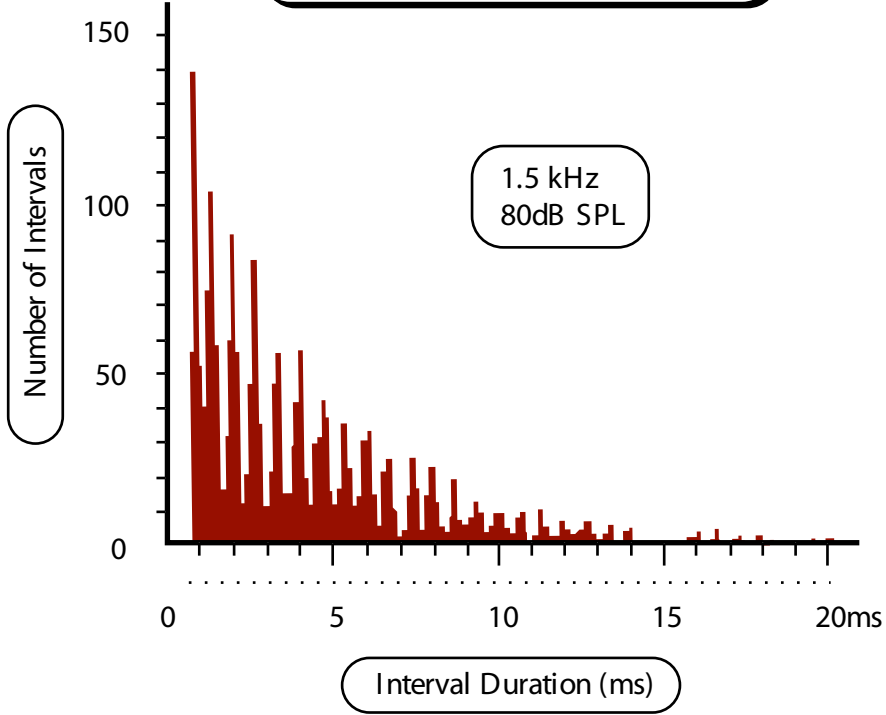
# Phase-locking to a 300 Hz Pure Tone



## Period Histogram (1100 Hz)



## First-order Interval Histogram

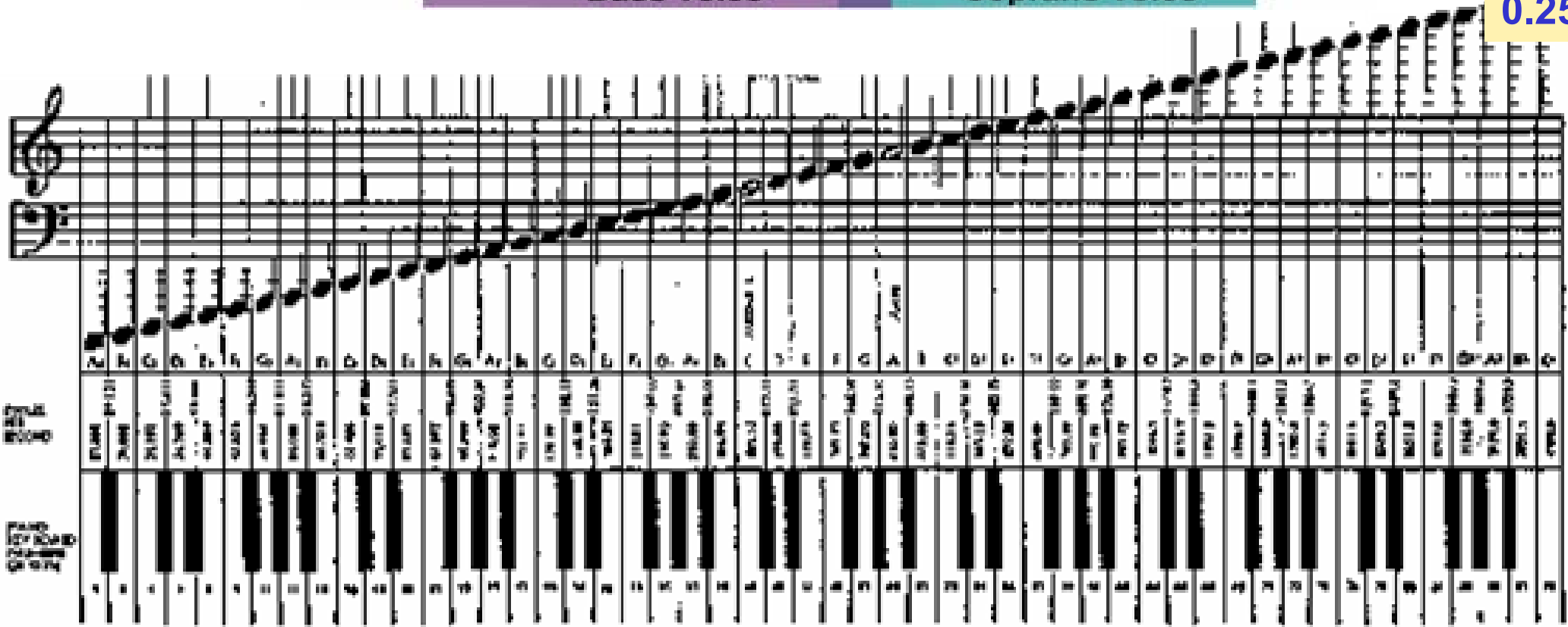




# Frequency ranges of (tonal) musical instruments



10k  
8  
6  
5  
4  
3  
2  
1  
0.5  
0.25



27 Hz

110  
Hz

262  
Hz

440  
Hz

880  
Hz

4 kHz

## **Reading for Thursday**

Deutsch: Weinberger Chapter

Handel: pp. 461-488